



# The sensitivity of drought variability to index and data selection

David Hoffmann

PhD Student

Ailie Gallant

Julie Arblaster



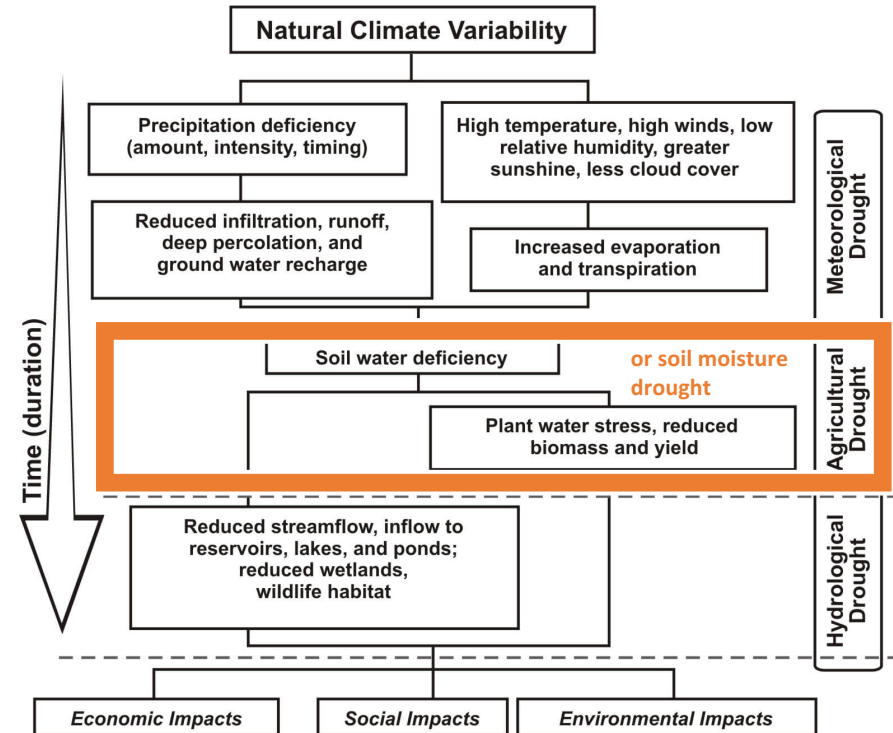
ARC CENTRE OF EXCELLENCE FOR  
CLIMATE EXTREMES



**MONASH**  
University

# Research Questions

- Can a meteorological drought index sufficiently represent agricultural/ soil moisture drought for drought identification?
- What is the sensitivity of the drought index to the choice of input data?







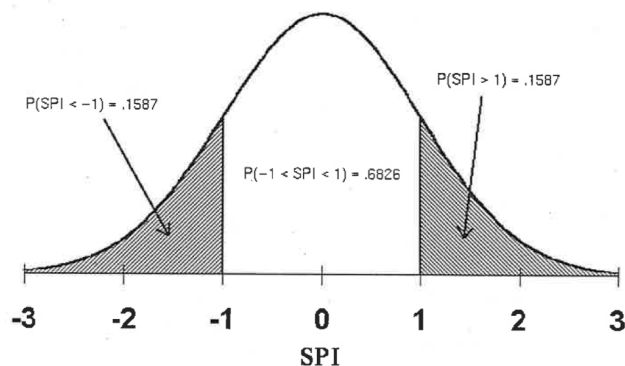
# How can we measure drought?

The different definitions of drought make it challenging to measure it

- Indices simplify the complex mechanisms of droughts and convey them as a single numeric values
  - More than 150 drought indices were developed encompassing meteorological and hydrological parameters (Zargar et al., 2011)
- Direct drought metrics measure drought effected parameters
  - Stationary soil moisture measurements are sparse and don't cover long time periods
  - Stream flow, gross primary production (GPP)
- This study: Two drought indices (SPI and PDSI) and modelled and satellite observed soil moisture (GLDAS and GRACE)

# Standardized Precipitation Index (SPI)

- **SPI** (McKee et al, 1993) specifies drought time scales for, typically 3 to 48 months, by simply comparing precipitation with its multiyear average
- A long-term precipitation record is fitted to a probability distribution from which a normal density distribution is derived



# Palmer Drought Severity Index (PDSI)

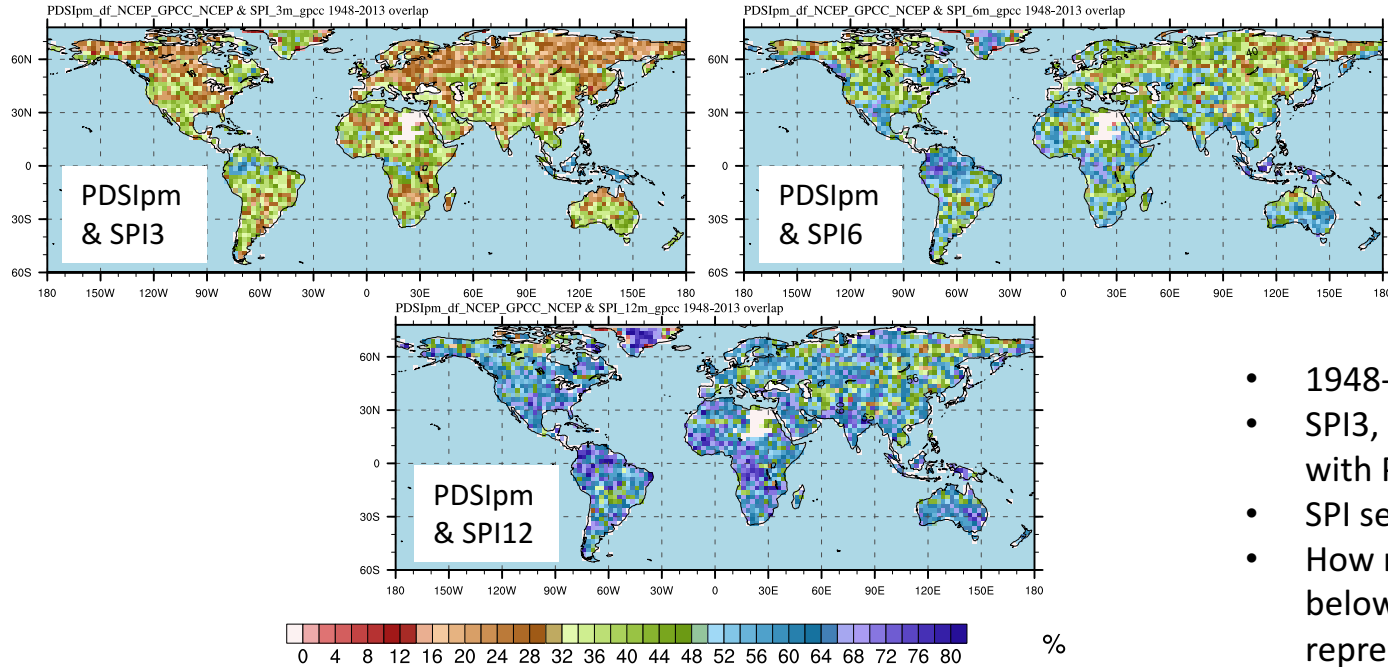
- **PDSI** (Palmer, 1965) measures the departure ( $d$ ) of moisture balance from normal conditions, taking rainfall, evapotranspiration, runoff and water loss and recharge into account

$$P = \alpha_i \cdot PE + \beta_i \cdot PR + \gamma_i \cdot PRO - \delta_i \cdot PL$$

- Penmen-Monteith method requires multiple datasets and various assumptions
  - ☐ Min/max/mean temperature
  - ☐ Precipitation
  - ☐ Available water content
  - ☐ Wind speed
  - ☐ Specific humidity
  - ☐ Downward shortwave solar radiation
  - ☐ Latitude, elevation, albedo



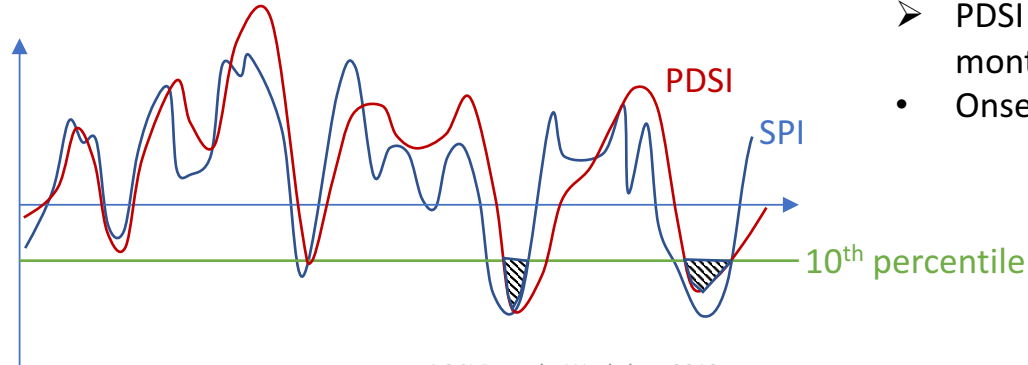
# Overlap of PDSI and SPI



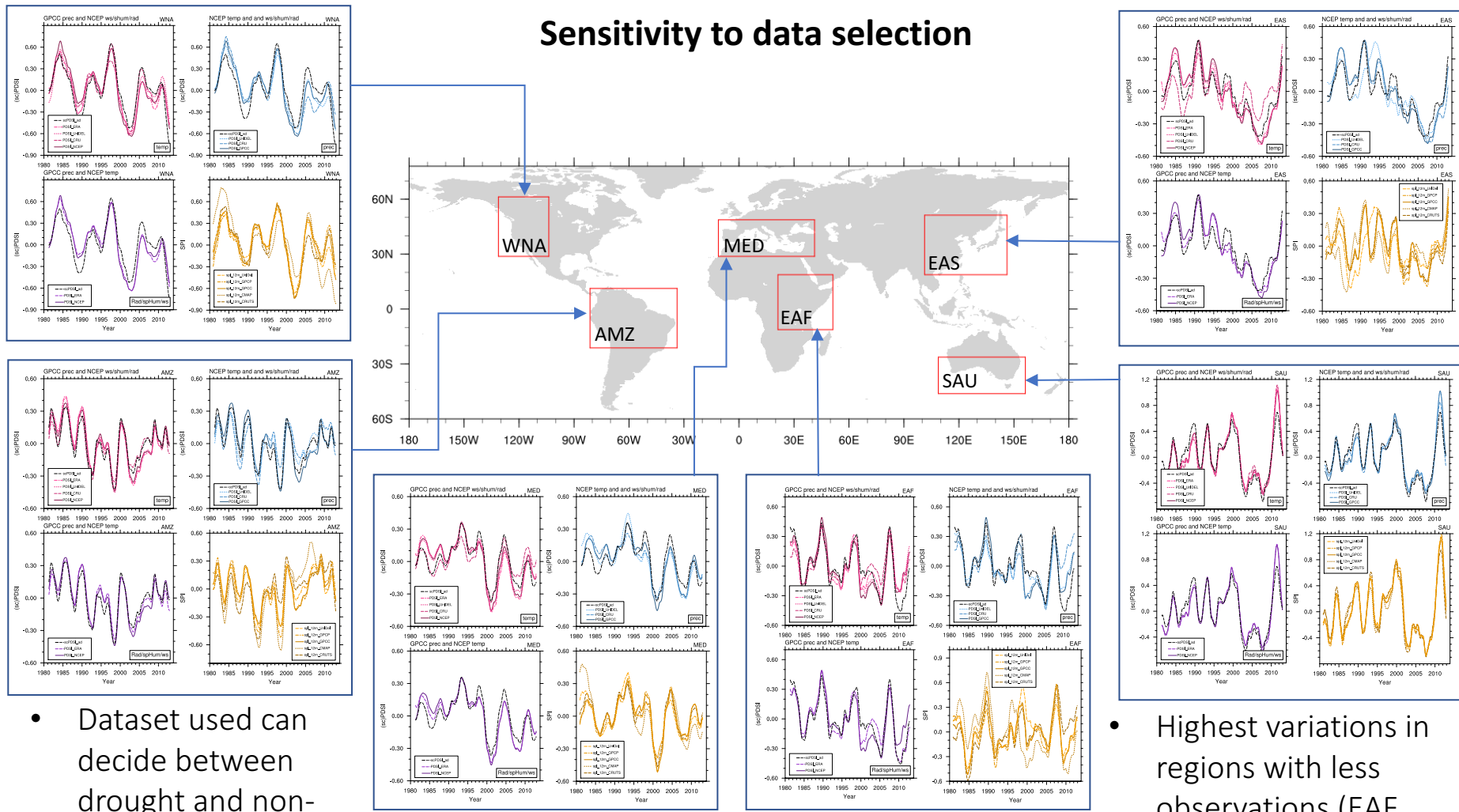
- GPCC precipitation
- NCEP temp, humidity, radiation, wind speed

- 1948-2013
- SPI3, SPI6 and SPI12 overlap with PDSI
- SPI set as “truth”
- How much of the time the SPI is below the 10<sup>th</sup> percentile is represented in the PDSI?
- PDSI has a memory of about 12 months
- Onset and cessation can vary

Schematic:



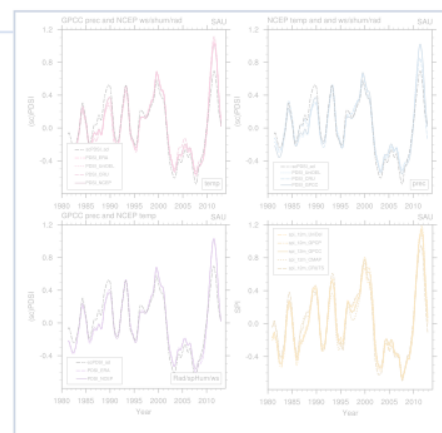
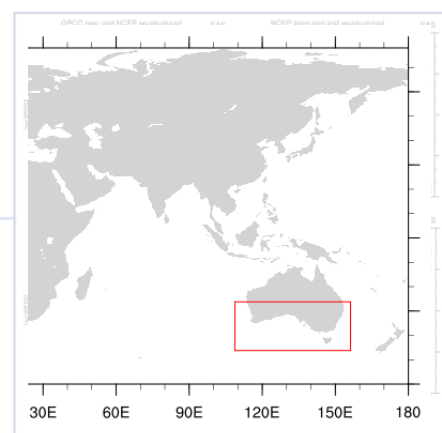
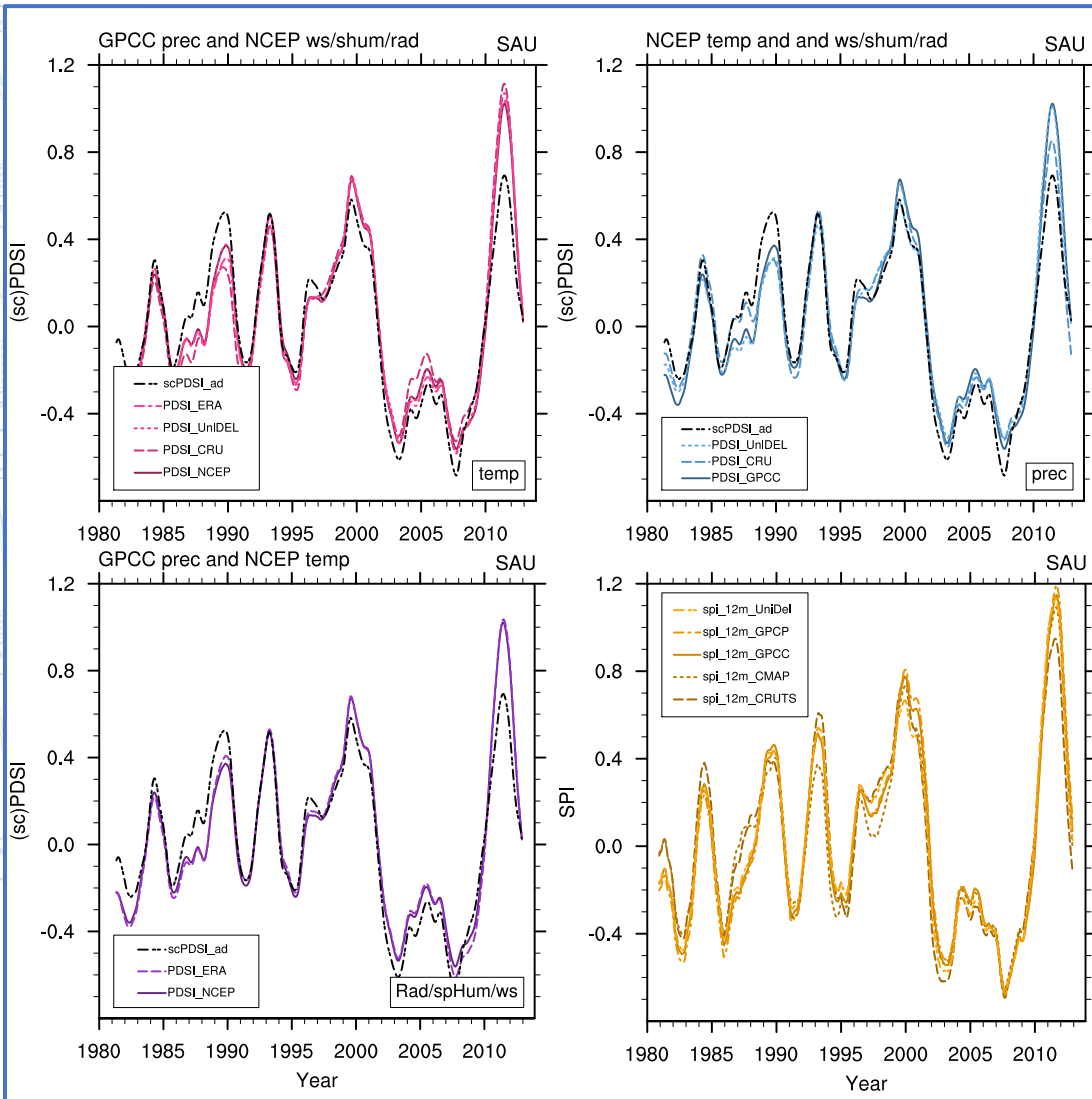
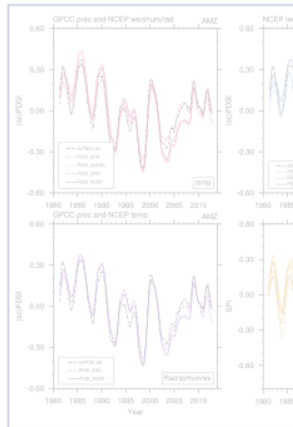
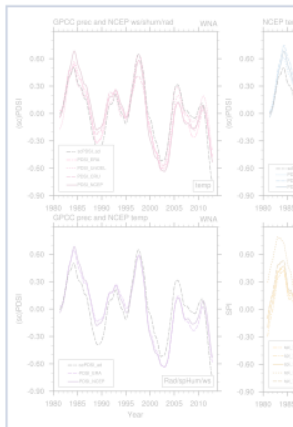
# Sensitivity to data selection

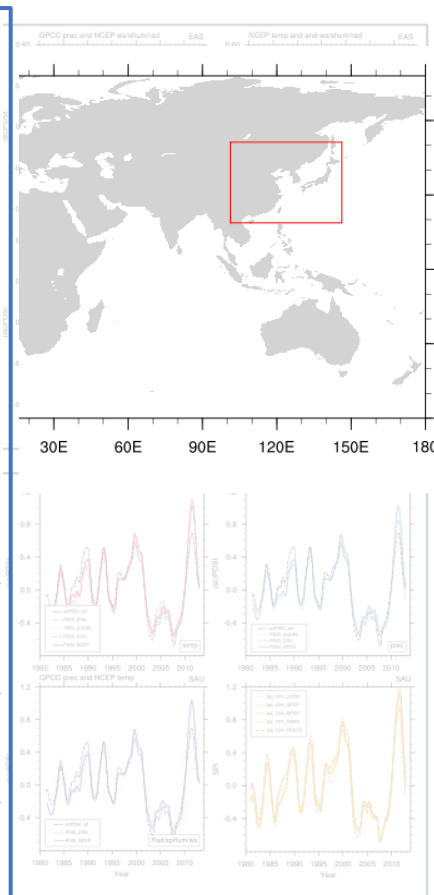
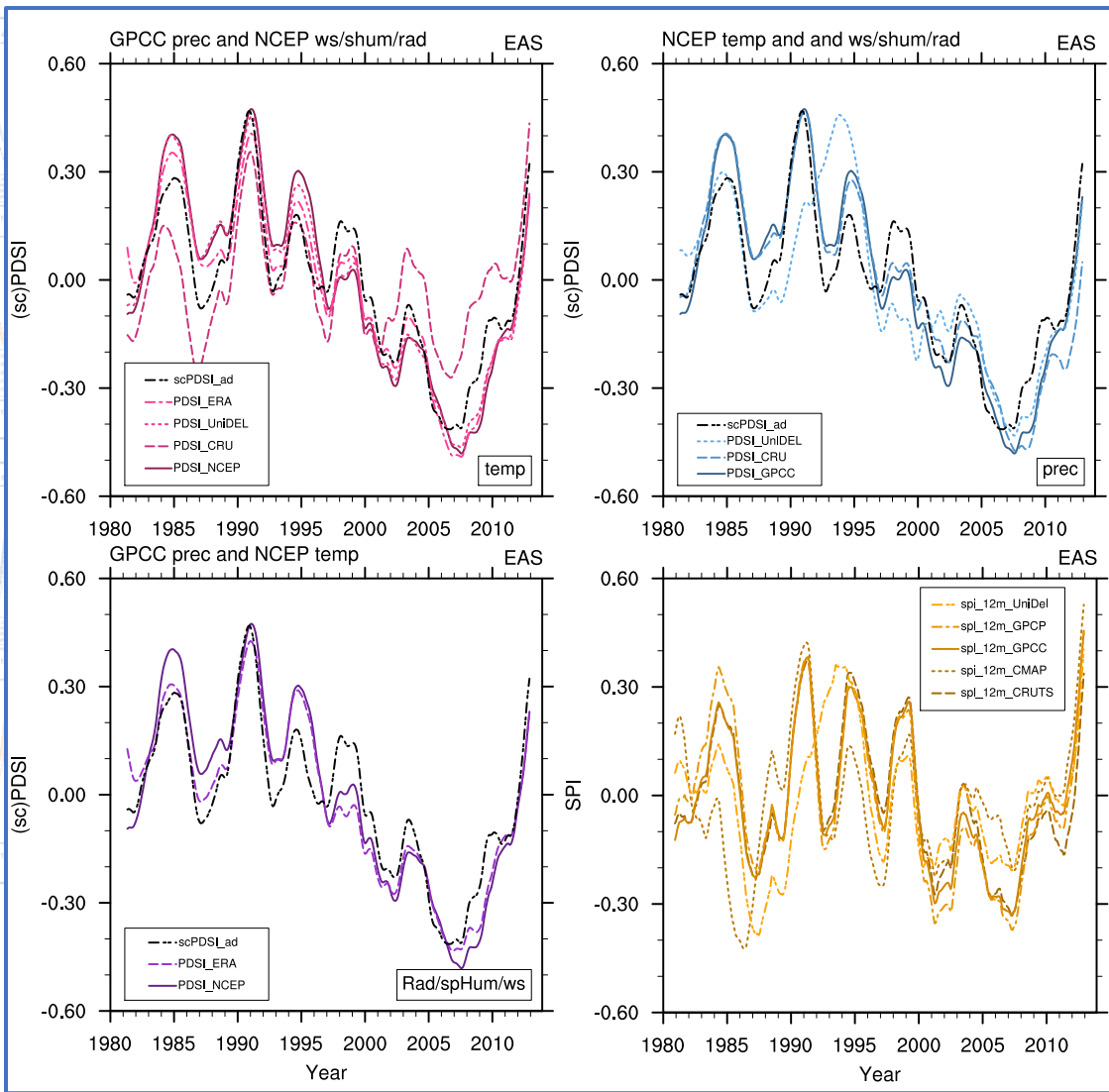
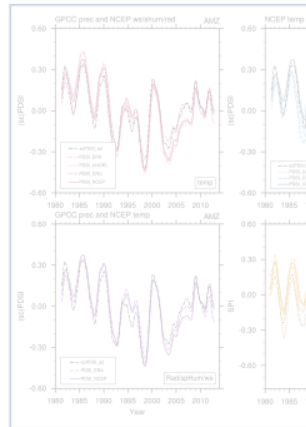
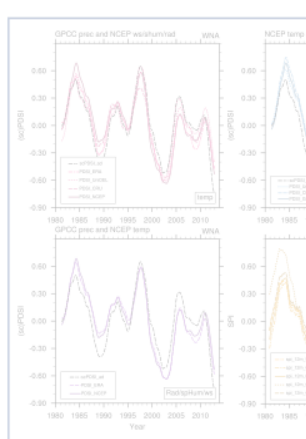


- Dataset used can decide between drought and non-drought conditions

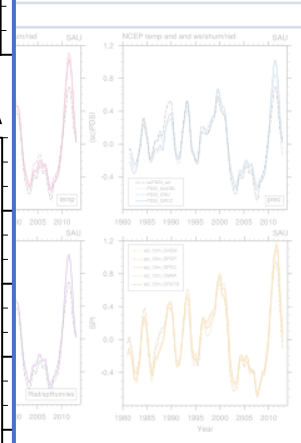
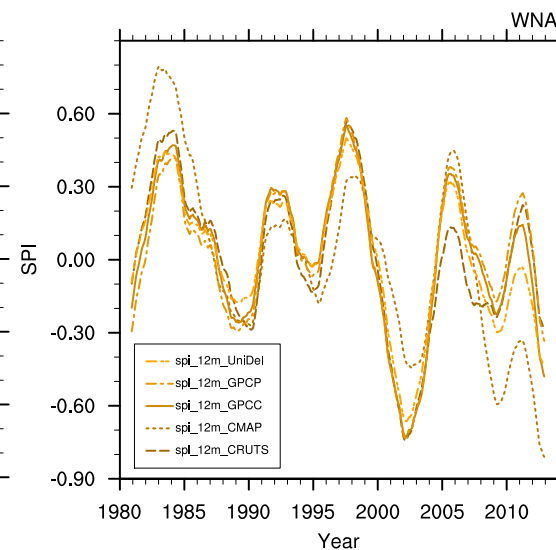
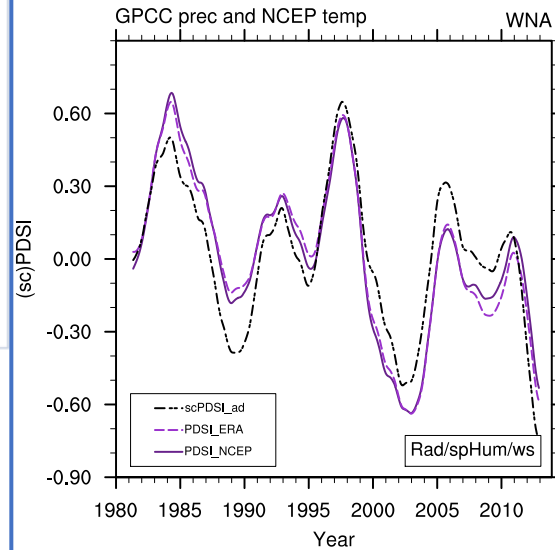
- Highest variations in regions with less observations (EAF, EAS, AMZ)





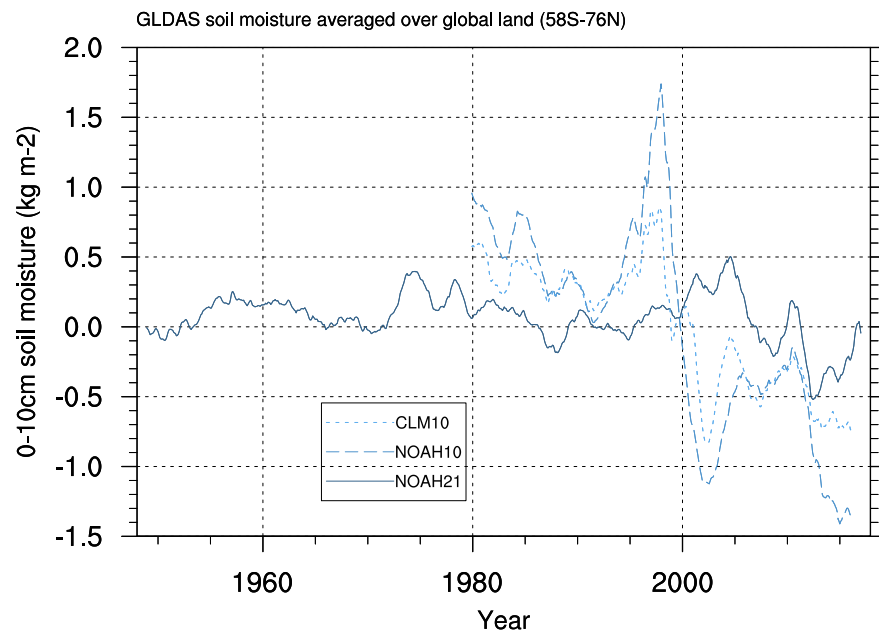
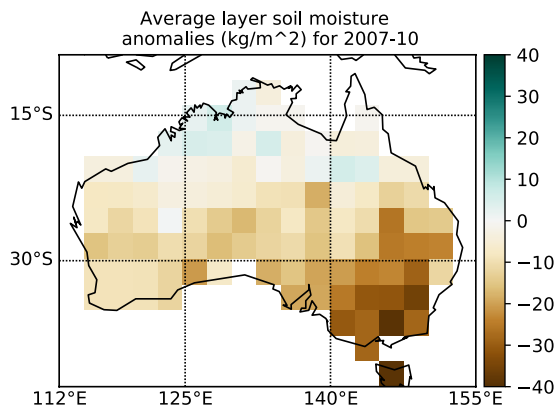






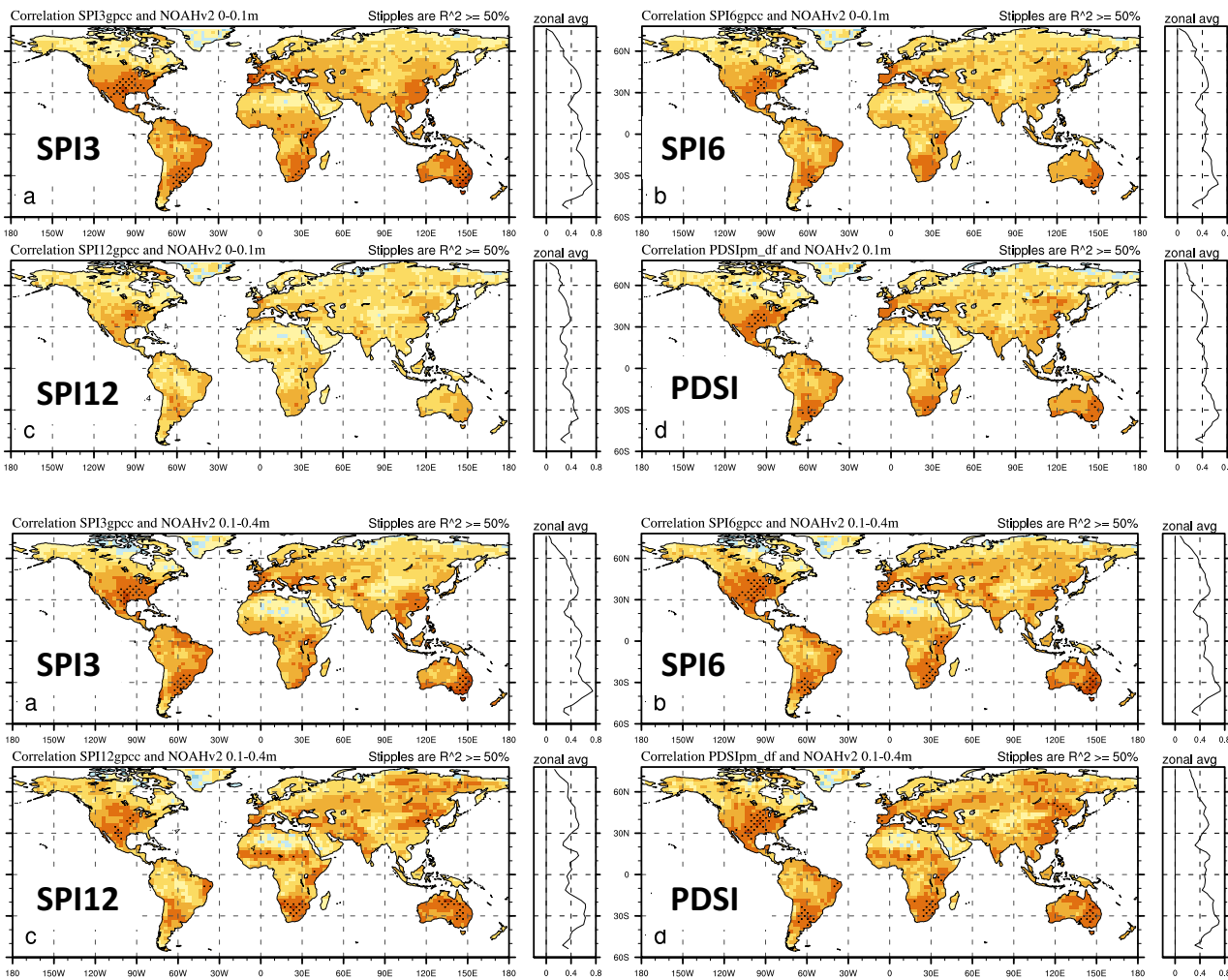
# GLDAS

- CLMv1 10 soil layer depths up to 3.4m
- NOAHv1/v2 4 soil layer depths up to 2m
- Anomalies of average layer soil moisture
- estimate terrestrial water and energy storage
- v1 forcings: NCEP's GDAS, disaggregated CMAP, and AFWA radiation datasets
- v2 forcings: The Princeton Global Meteorological Forcing Dataset





# Correlation of drought indices with GLDAS soil moisture levels



## GLDAS NOAHv2

### 0-10cm

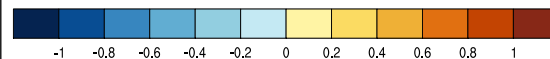
➤ SPI3 best co-variability

- SPI uses GPCC precipitation
- 1948-2013
- PDSI uses GPCC precipitation, NCEP temperature, solar radiation, wind speed and specific humidity

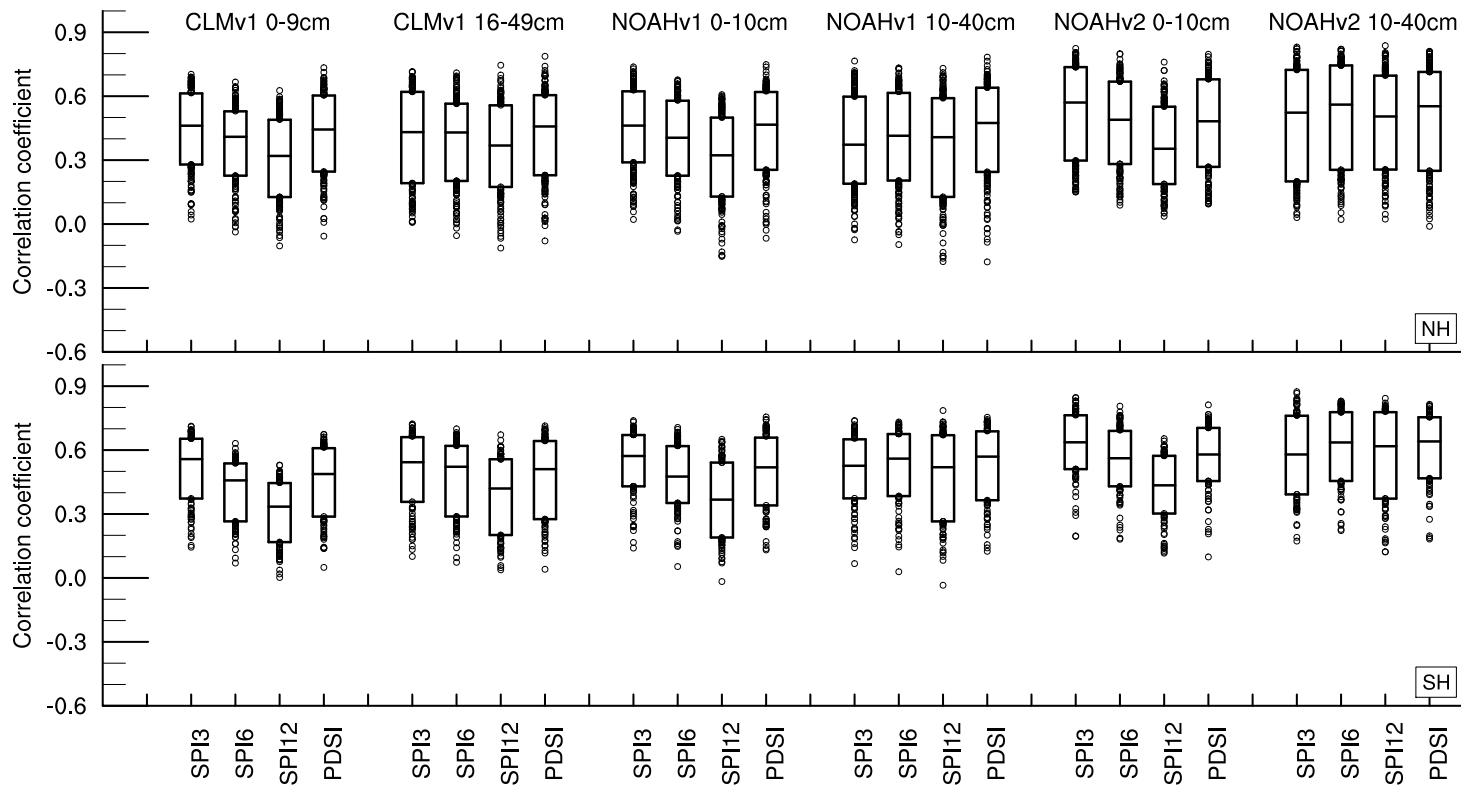
## GLDAS NOAHv2

### 10-40cm

➤ SPI6 best co-variability

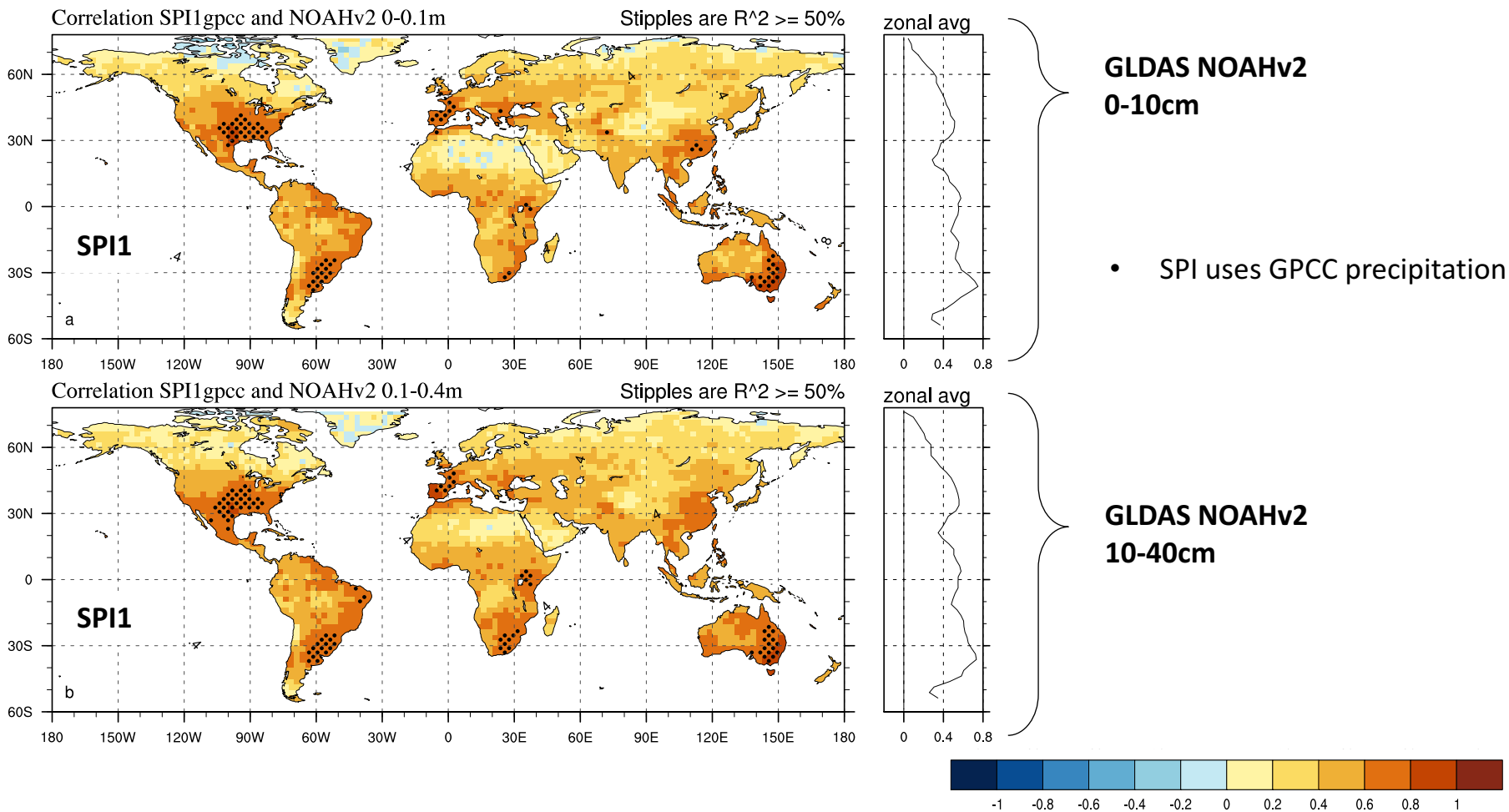


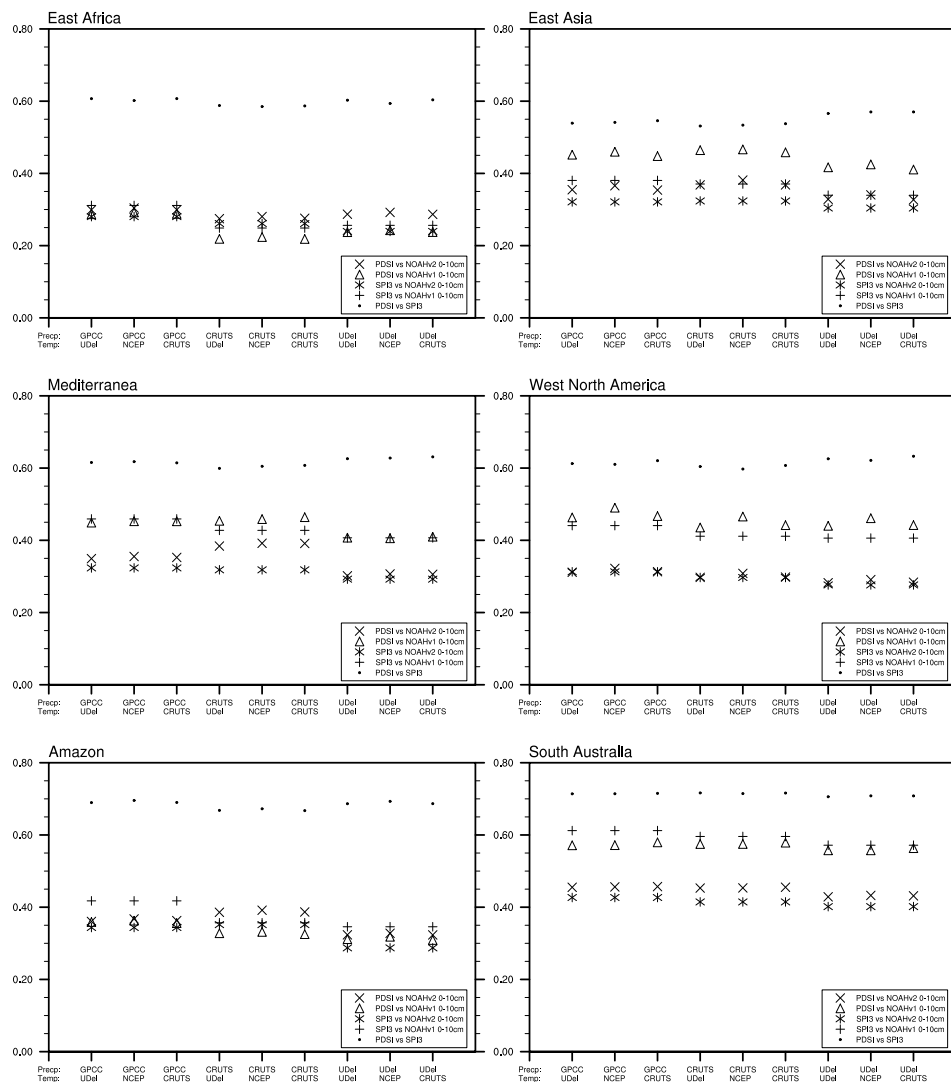
## High correlations of DIs in the subtropics



- Inclusion of ET is not crucial to identify agricultural drought in the mid latitudes
- Variations in soil moisture in the subtropics is mainly driven by precipitation

# Correlation of SPI1 with GLDAS soil moisture levels





Inclusion of evapotranspiration vs choice of dataset for 0-10cm soil moisture

Temperature data:

- UDel
- CRUTS
- NCEP

Precipitation data:

- UDel
- CRUTS
- GPCC

➤ Choice of data in most regions more important than ET (MED, EAF, WNA, AMZ)





# Summary

- SPI identifies droughts in low to mid latitudes ( $15^{\circ}$ - $40^{\circ}$ N/S) as well as the PDSI identifies droughts.
- Using longer time scales for calculating precipitation anomalies (> 6 months) identifies droughts as well as soil moisture estimates, which include evapotranspiration
- Attention is required when selecting datasets for computing drought indices, depending on the region of interest

The background image shows a vast, arid landscape. The foreground and middle ground are covered in dry, cracked earth, forming a mosaic of irregular polygons. In the distance, there is a thin line of low-lying green shrubs and trees. The sky is filled with large, dark, heavy clouds, with some lighter patches where the sun might be breaking through, creating a dramatic and somewhat somber atmosphere.

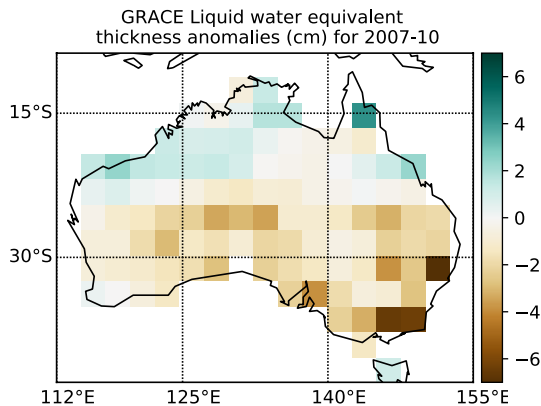
Thank you very much for  
your attention!

[david.hoffmann@monash.edu](mailto:david.hoffmann@monash.edu)



# GRACE JPL

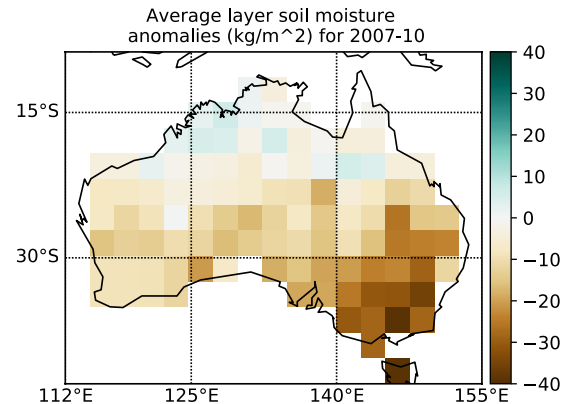
- monthly anomalies of liquid water equivalent thickness (**lwe**)
- derived from temporal gravity field variations measured by the GRACE satellite, based on the RL05 spherical harmonics
- Unlimited depth



14/09/2018

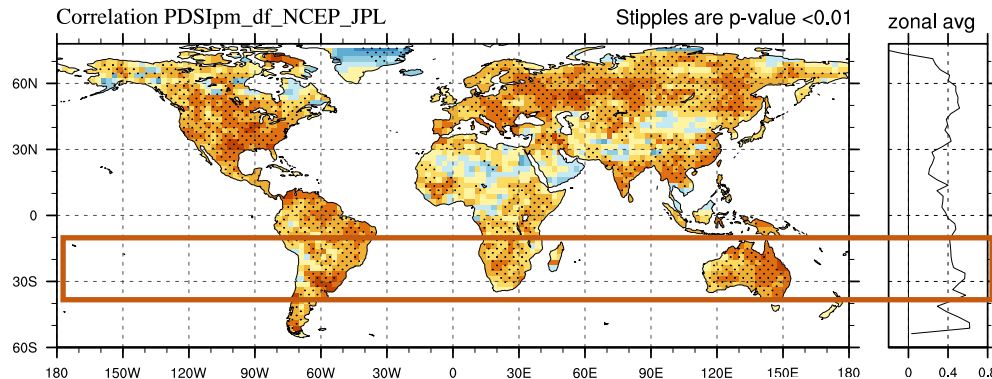
# GLDAS

- **CLMv1** 10 soil layer depths up to 3.4m
- **NOAHv1/v2** 4 soil layer depths up to 2m
- Anomalies of average layer soil moisture
- estimate terrestrial water and energy storage
- v1 forcings: NCEP's GDAS, disaggregated CMAP, and AFWA radiation datasets
- v2 forcings: The Princeton Global Meteorological Forcing Dataset

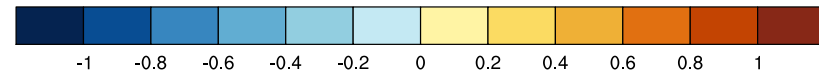


AGCI Drought Workshop 2018

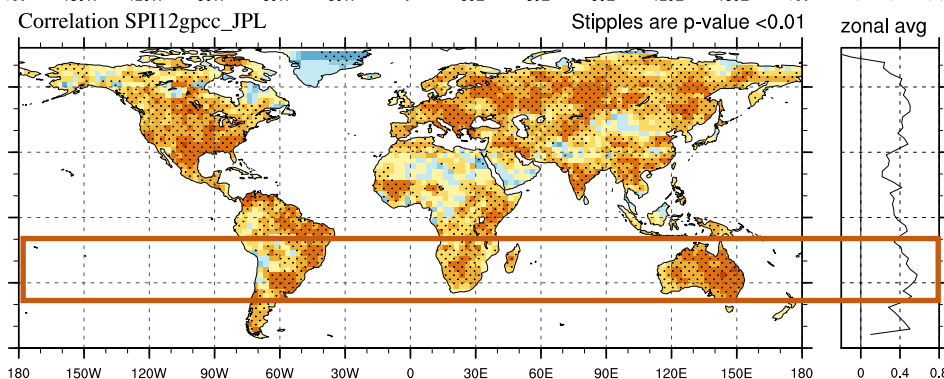
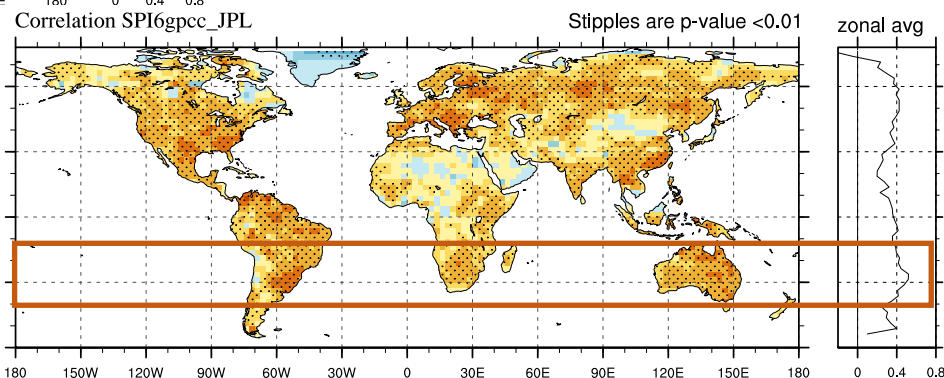
18



## Correlation with GRACE JPL TWS



- Weak correlations in dry and high altitude/latitude regions
- Higher correlations in the low to mid latitudes ( $\sim 15 - 40^\circ\text{S}$ ,  $\sim 30 - 55^\circ\text{N}$ )
- PDSI does not perform much better than SPI9/12 showing that the SPI captures deeper soil moisture as well





# References

- Adler, R. F., Huffman, G. J., Chang, A., Ferraro, R., Xie, P.-P., Janowiak, J., Rudolf, B., Schneider, U., Curtis, S., Bolvin, D., Gruber, A., Susskind, J., Arkin, P., & Nelkin, E. (2003). The Version-2 Global Precipitation Climatology Project (GPCP) Monthly Precipitation Analysis (1979–Present). *JOURNAL OF HYDROMETEOROLOGY*, 4(6), 1147-1167.
- Burke, E. J., & Brown, S. J. (2008). Evaluating uncertainties in the Projection of Future Drought. *JOURNAL OF HYDROMETEOROLOGY*, 9, 292-299. doi: 10.1175/2007JHM929.1
- Dai, A. (2011a). Characteristics and trends in various forms of the Palmer Drought Severity Index during 1900– 2008. *JOURNAL OF GEOPHYSICAL RESEARCH*, 116, 1-26. doi:doi:10.1029/2010JD015541
- Dai, A. (2011b). Drought under global warming: a review. *WIREs Climate Change*, 2, 45-65.
- Dai, A., Trenberth, K. E., & Qian, T. (2004). A Global Dataset of Palmer Drought Severity Index for 1870–2002: Relationship with Soil Moisture and Effects of Surface Warming. *JOURNAL OF HYDROMETEOROLOGY*, 5, 1117-1130.
- Dracup, J. A., Seong Lee, K., & Paulsen Jr, E. G. (1980). On the Definition of Droughts. *WATER RESOURCES RESEARCH*, 16(2), 297-302.
- Guttman, N. B. (1998). Comparing the palmer Drought Index and the Standardized Precipitation Index. *Journal of the American Water Resources Association*, 34, 113-121.
- IPCC. (2013). *Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change* (T. F. Stocker, D. Qin, G.-K. Plattner, M. Tignor, S. K. Allen, J. Boschung, A. Nauels, Y. Xia, V. Bex, & P. M. Midgley Eds.). Cambridge, UK and New York, NY, USA: Cambridge University Press.
- Jones, D. A., Wang, W., & Fawcett, R. (2009). High-quality spatial climate data-sets for Australia. *Australian Meteorological and Oceanographic Journal*, 58, 233-248.
- Kalnay, E. (1996). The NCEP/NCAR 40-Year Reanalysis Project. *Bulletin of the American Meteorological Society*, 77(3), 437-471.
- Keyantash, J., & Dracup, J. A. (2002). The Quantification of Drought: An Evaluation of Drought Indices. *BULLETIN OF AMERICAN METEOROLOGICAL SOCIETY*, 1167-1180.
- McKee, T. B., Doesken, N. J., & Kleist, J. (1993). *The Relationship of Drought Frequency and Duration to Time Scales*. Paper presented at the Eighth Conference on Applied Climatology, Anaheim, California.
- Mishra, A. K., & Singh, V. P. (2010). A review of drought concepts. *Journal of Hydrology*, 391, 202-216.
- Mpelasoka, F., Hennessy, K., Jones, R., & Bates, B. (2008). Comparison of suitable drought indices for climate change impacts assessment over Australia towards resource management. *INTERNATIONAL JOURNAL OF CLIMATOLOGY*, 28, 1283-1292.

- Nasrollahi, N., AghaKouchak, A., Chneg, L., Damberg, L., Phillips, T. J., Miao, C., Hsu, K., & Sorooshian, S. (2015). How well do CMIP5 climate simulations replicate historical trends and patterns of meteorological droughts? *WATER RESOURCES RESEARCH*, 51, 2847-2864. doi: 10.1002/2014WR016318
- Orlowsky, B., & Seneviratne, S. I. (2013). Elusive drought: uncertainty in observed trend and short- and long-term CMIP5 projections. *Hydrology and Earth System Science*, 17, 1765-1781.
- Palmer, W. C. (1965). Meteorological Drought. Weather Bureau Research Paper, US Department of Commerce, Washington, DC, 45, 58.
- Power, S., Casey, T., Folland, C., Colman, A., & Mehta, V. (1999). Inter-decadal modulation of the impact of ENSO on Australia. *Climate Dynamics*, 15, 319-324.
- Rodell, M., Houser, P. R., Jambor, U., Gottschalck, J., Mitchell, K., Meng, C.-J., Arsenault, K., Cosgrove, B., Radakovich, J., Rui, H. (2017). README Document for Global Land Data Assimilation System Version 1 (GLDAS-1) Products: Goddard Earth Sciences Data and Information Service Center (GES DISC).
- Schneider, U., Becker, A., Finger, P., Meyer-Christoffer, A., Ziese, M., & Rudolf, B. (2014). GPCC's new land surface precipitation climatology based on quality-controlled in situ data and its role in quantifying the global water cycle. *Theoretical Applied Climatology*, 115, 15-40. doi: 10.1007/00704-013-0860-x
- Seneviratne, S. I., Corti, T., Davin, E. L., Hirschi, M., Jaeger, E. B., Lehner, I., Orlowsky, B., & Teuling, A. J. (2010). Investigating soil moisture–climate interactions in a changing climate: A review. *Earth-Science Reviews*, 99, 125-161. *Water*, 9(10), 3-11.
- Uppala, S. M., Kallberg, P. W., & Simmons, A. J. (2005). The ERA-40 re-analysis. *Quarterly Journal of the Royal Meteorological Society*, 131, 2961–3012. doi:10.1256/qj.04.176
- Verdon-Kidd, D. C., & Kiem, A. S. (2009a). Nature and causes of protracted droughts in southeast Australia: Comparison between the Federation, WWII, and Big Dry droughts. *GEOPHYSICAL RESEARCH LETTERS*, 36, 1-6. doi:doi:10.1029/2009GL041067
- Webb, R. S., & Rosenzweig, C. E. (1993). Specifying Land Surface Characteristics in General Circulation Models: Soil Profile Data Set and Derived Water-Holding Capacity. *Global Biochemical Cycles*, 7(1), 97-108. Wells, N., & Hayes, M. J. (2004). A Self-Calibrating Palmer Drought Severity Index. *JOURNAL OF CLIMATE*, 17, 2335-2351.
- Wilhite, D. A., & Glantz, M. H. (1985). Understanding the Drought Phenomenon: The Role of Definitions. *Water International*, 10(3), 111-120.
- Xie, P., & Arkin, P. A. (1997). Global Precipitation: A 17-Year Monthly Analysis Based on Gauge Observations, Satellite Estimates, and Numerical Model Outputs. *Bulletin of the American Meteorological Society*, 78(11), 2539-2558.
- Zargar, A., Sadiq, R., Naser, B., & Kahn, F. I. (2011). A review of drought indices. *Environmental Review*, 19, 333- 349. doi:10.1139/A11-013

# Utilized data

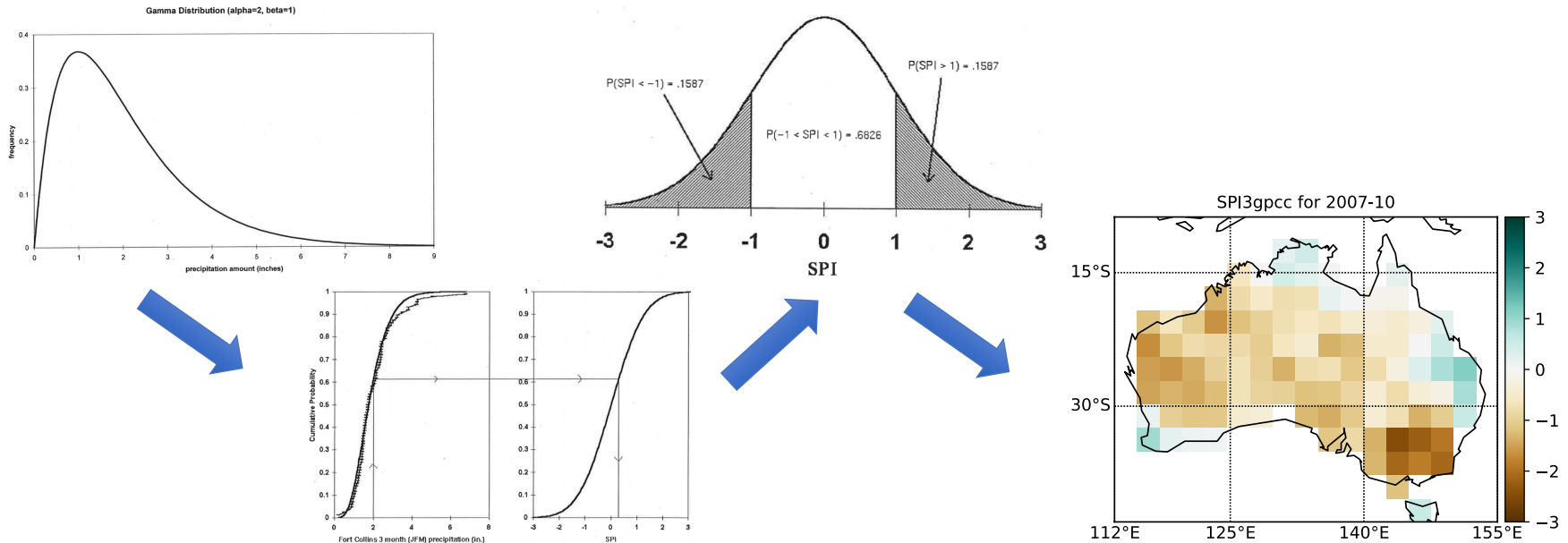
Data	Years available	Variables used
ERA Interim	1979-2016	Wind speed, spec. humidity, dw sw solar radiation, max/min temperature
NCEP reanalysis II	1948-2016	As above, but mean temperature, precipitation
GPCP	1979-2016	Precipitation
GPCC	1900-2013	Precipitation
AWC	constant	Available water content
GRACE JPL	2002-2016	monthly anomalies of liquid water equivalent thickness
GLDAS CLM/NOAH	1979-2016	Average layer soil moisture
CRU TS v.4.01	1901-2016	Precipitation, min/max temperature
scPDSI	1850-2014	scPDSI (Dai et al., 2011)
CMAP	1979-2017	precipitation

SPI      PDSI      Both

➔ Regrided to  $2.5^{\circ} \times 2.5^{\circ}$

# Standardized Precipitation Index (SPI)

- SPI (McKee et al, 1993) specifies drought time scales for, typically 3 to 48 months, by simply comparing precipitation with its multiyear average
- A long-term precipitation record is fitted to a probability distribution from which a normal density distribution is derived

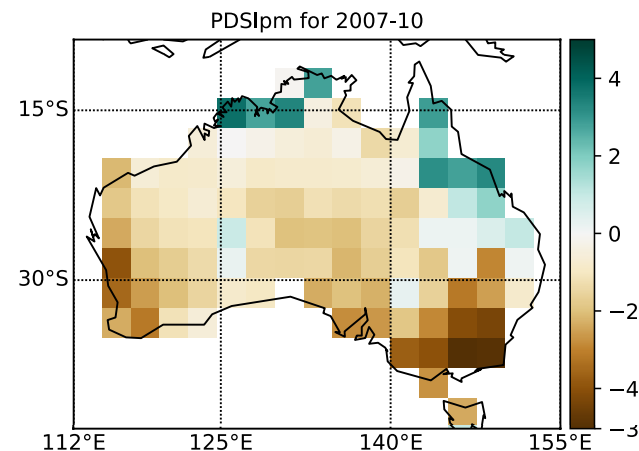




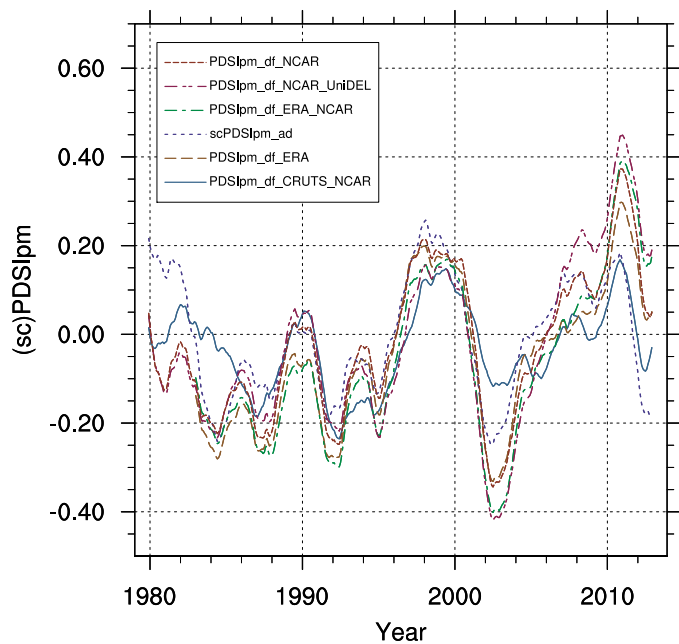
# Palmer Drought Severity Index (PDSI)

- Penmen-Monteigh method requires multiple datasets and various assumptions

- ☐ Min/max/mean temperature
- ☐ Precipitation
- ☐ Available water content
- ☐ Wind speed
- ☐ Specific humidity
- ☐ Downward shortwave solar radiation
- ☐ Latitude, elevation, albedo

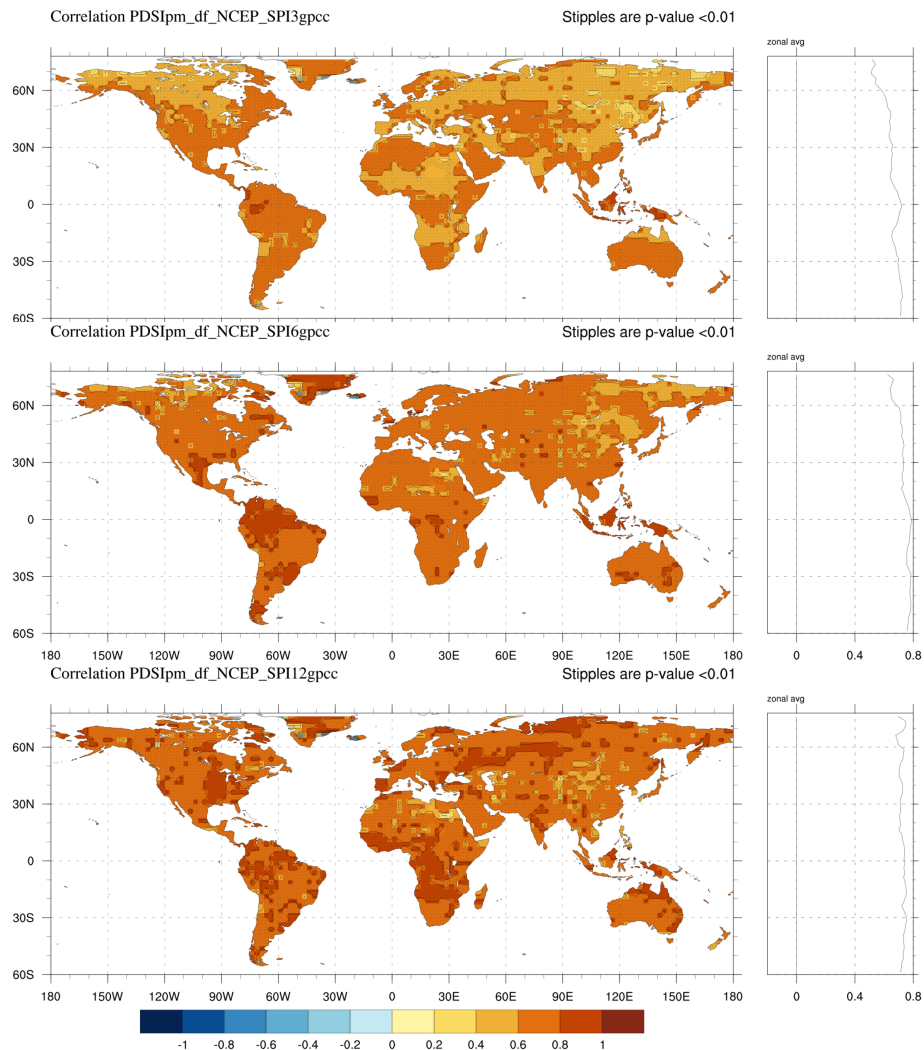


## PDSIs averaged over global land (58S-76N)



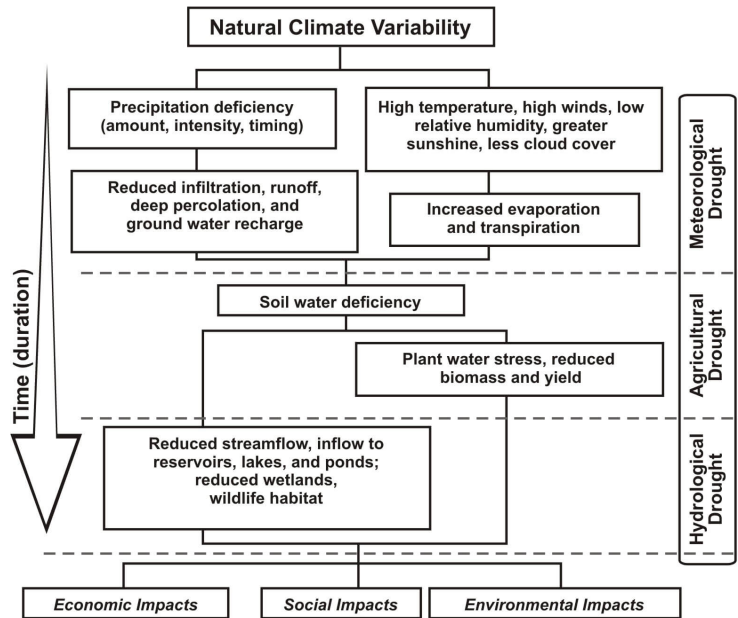
Level of uncertainty in measuring drought

- Depends on datasets used
- PDSI and SPI are well correlated (1948-2013)

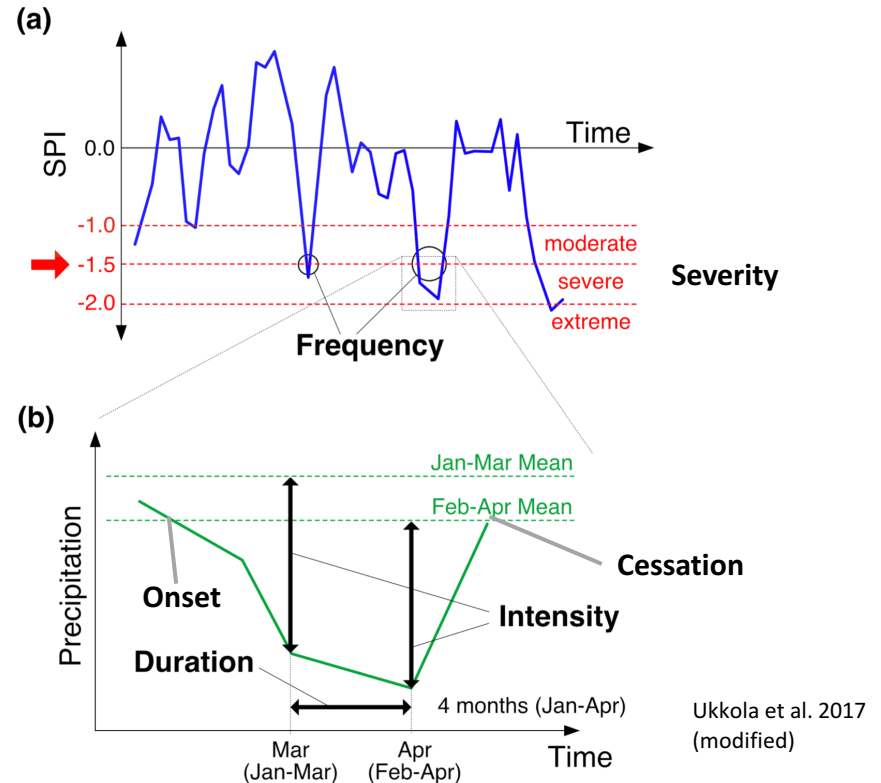


# What is a drought?

- Drought research differentiates between drought *types* and *characteristics*



Source: <http://drought.unl.edu/DroughtBasics/TypesofDrought.aspx>



Ukkola et al. 2017  
(modified)

# Palmer Drought Severity Index (PDSI)

## Self-calibration method (scPDSI) (Dai 2011, Wells & Hayes 2004)

- PDSI model uses constant parameter for local climate
- Local distribution of PDSI values is sometimes skewed → rescaling
- Calibrated parameter very similar to original
- Calibration can exaggerate or diminish the trend

